



भारतीय प्रतिभूति और विनियम बोर्ड
Securities and Exchange Board of India

CIRCULAR

CIR/MRD/DP/DA/ 25 /2012

September 21, 2012

To

All Depositories

Dear Sir / Madam,

Sub: Printing of Grievances Redressal Mechanism on Delivery Instruction Form Book

1. Please refer to SEBI circular no. SEBI/MRD/DOP/Cir-22/2004 dated July 14, 2004 which specified the information regarding grievance redressal mechanism to be printed on the inside back cover of the Delivery Instruction Form Book issued by all Depository Participants.
2. The contents to be printed in the Delivery Instruction Form Book have been revised in line with recent initiatives taken by SEBI and are enclosed at Annexure A.
3. The Depositories are advised to:-
 - a) make amendments to the relevant bye-laws, rules and regulations for the implementation of the above, as may be applicable/necessary ;
 - b) bring the provisions of this circular to the notice of their DPs and also to disseminate the same on their website.
4. This circular is being issued in exercise of the powers conferred by Section 11 (1) of Securities and Exchange Board of India Act, 1992 and section 19 of the Depositories Act, 1996 to protect the interest of investors in securities and to promote the development of, and to regulate the securities market.

Maninder Cheema
Deputy General Manager
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Annexure A

In case you have grievances against a listed company or intermediary registered with SEBI, you should first approach the concerned company or intermediary against whom you have grievance. If you are not satisfied with their response, you may approach SEBI or other regulatory bodies. You can approach SEBI for following types of grievances :

<p>Listed Companies</p> <ul style="list-style-type: none"> • Refund/ Allotment / Bonus/Dividend/Rights/Redemption / Interest • Prelisting offer documents (shares). • Prelisting offer documents (debentures and bonds). • Delisting of Securities • Buyback of Securities • Takeover and Restructuring • Corporate Governance and Listing Conditions 	<p>Brokers and stock exchanges</p> <p>Stock brokers Sub brokers Portfolio managers Stock exchanges</p>
<p>Registrar and Transfer Agents</p>	<p>Other entities</p> <p>Collective Investment Schemes Debenture Trustees Merchant Bankers Bankers to Issue Credit Rating Agencies Custodian of Securities Foreign Institutional Investors Underwriters Venture Capital Funds KYC Registration Agency(KRA) Alternative Investment Fund</p>
<p>Mutual Funds</p>	
<p>Depository and Depository participants</p>	
<p>Information to SEBI:</p> <ul style="list-style-type: none"> • Price Manipulation • Insider trading 	
<p>You can file your complaints online at http://scores.gov.in or alternately send your complaints to Office of Investor Assistance and Education of SEBI at Mumbai or Regional Offices at the following addresses:</p>	
<ul style="list-style-type: none"> • Office of Investor Assistance and Education, SEBI Bhavan, Plot No.C4-A, 'G' Block, Bandra Kurla Complex, Bandra (E), Mumbai 400 021 Tel : 022-26449188 / 26449199 (http://scores.gov.in) 	
<ul style="list-style-type: none"> • SEBI, Northern Regional Office, 5th Floor, Bank of Baroda Building, 16, Sansad Marg, New Delhi - 110 001 Tel : 011- 23724001-05 (www.sebinro@sebi.gov.in) 	
<ul style="list-style-type: none"> • SEBI, Eastern Regional Office, L&T Chambers, 3rd Floor, 16, Camac Street, Kolkata - 700 016 Tel : 033-23023000. (www.sebiero@sebi.gov.in) 	
<ul style="list-style-type: none"> • SEBI, Southern Regional Office, 7th Floor, Overseas Towers, 756-L, Anna Salai, Chennai – 600 002 Tel : 044-24674000 / 24674150 (www.sebisro@sebi.gov.in) 	
<ul style="list-style-type: none"> • SEBI, Ahmedabad Regional, Office Unit No: 002, Ground Floor, SAKAR I, Near Gandhigram Railway Station, Opp. Nehru Bridge Ashram Road, Ahmedabad - 380 009 Tel : 079-26583633-35 (www.sebiaro@sebi.gov.in) 	
<p>For more information visit our website - http://scores.gov.in</p>	