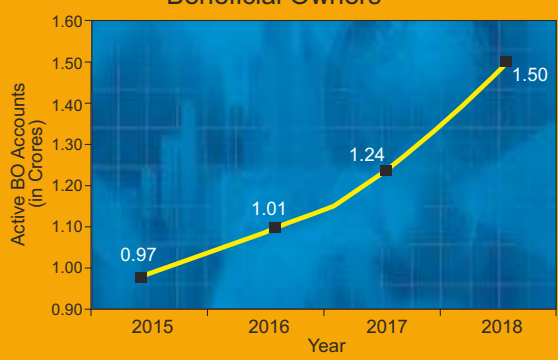




# CDSL e-Infoline

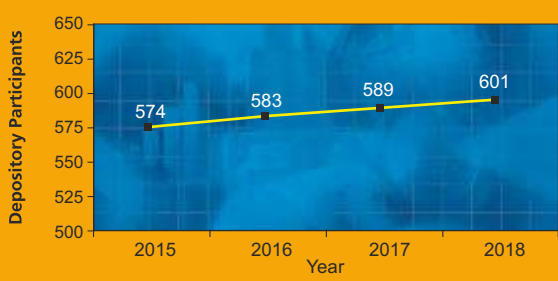
May 2018

### Beneficial Owners



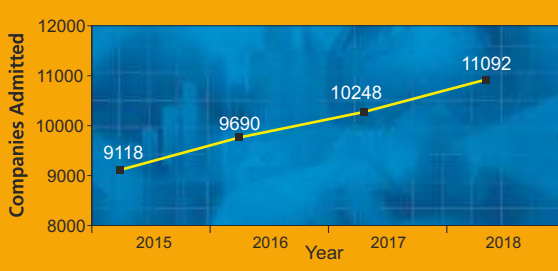
During the last 12 months, the number of active BO accounts with CDSL has increased from 1.24 Crore as on April 30, 2017 to 1.50 Crore as on April 30, 2018, which indicates growth of 20.96 %.

### Depository Participants



As on April 30, 2018 CDSL has 601 DPs offering DP services from over 17,000 locations across the country. These DPs comprise of CMs, Banks, NBFCs & Custodians.

### Unique Companies Admitted



The securities of almost all listed companies have been admitted with CDSL for demat. Further, a large number of Private Limited and unlisted companies are also admitted with CDSL. As on April 30, 2018, the securities of 11,092 unique companies have been admitted for demat with CDSL.

## MONITORING OF FOREIGN INVESTMENT LIMITS IN LISTED INDIAN COMPANIES

Foreign Investment in India is regulated by the Foreign Exchange Management Act, 1999 (FEMA). FEMA prescribes the various foreign investment limits in listed Indian companies. These include the aggregate FPI limit, the aggregate NRI limit and the sectoral cap.

In order to facilitate the listed Indian companies to ensure compliance with the various foreign investment limits, SEBI in consultation with RBI has decided to put in place a new system for monitoring the foreign investment limits.

SEBI, vide its circular no. [IMD/FPIC/CIR/P/2018/61](#) dated April 5, 2018 has provided that monitoring of foreign investment limits in listed companies shall be done by depositories. The aggregate limits for investments by Foreign Portfolio Investors (FPIs), Non Resident Indians (NRIs) and the sectoral cap of a listed company will be monitored by depositories (CDSL and NSDL). The company has to appoint any one depository as the designated depository. The designated depository will obtain information required for such monitoring from the other depository (for securities held in electronic form) and the company (for securities held in physical form).

CDSL is pleased to offer its services to act as the designated depository for monitoring of foreign investments for companies. For monitoring of foreign investment limits, CDSL will provide a web based system to ensure ease of operations.

- Companies will be given access to a web based system so that the information as specified by SEBI in the aforementioned circular can easily be submitted to CDSL.
- Similarly, CDSL will collect required information from Exchanges, Custodians (reporting trades executed by FPIs) and Authorized Dealer (AD) Banks (reporting trades executed by NRIs) and the other depository for the purpose of monitoring of these limits.
- The system will collate the information so collected to generate the red flag alert whenever the foreign investment headroom comes down to less than 3% of the aggregate FPI / NRI limits or the sectoral cap.
- CDSL will also disseminate available investment headroom on daily basis as long as the red flag is activated.
- In case of a breach scenario, CDSL will identify FPIs / NRIs for disinvestment of excess holding (as mentioned in the aforesaid SEBI circular) and will inform the custodians / AD Banks for disinvestment.

Companies can appoint CDSL as the designated depository by sending an email to [fim@cdslindia.com](mailto:fim@cdslindia.com). CDSL shall provide the login credentials to a web based system for provision of data as prescribed by SEBI.

SEBI has directed companies to provide the required data to the designated depository latest by May 25, 2018. The new system for monitoring foreign investment limits in listed Indian companies shall be made operational on June 01, 2018. For any clarification / further information in this regard, kindly

contact Mr. Amit Krishnan, Mr. Sachin Pawar, Mr. Jitendra Gupta and Ms. Vaishali Dhumal on (022) 2305 8652, 2305 8506, 2305 8504, 2305 8698 respectively.

## **FACILITY FOR CHANGE OF CONTACT DETAILS IN CDSL DEMAT ACCOUNT BY EASI/EASIEST USERS [INDIVIDUALS]**

At present, Beneficial Owners have to inform their Depository Participants about any change in the contact details. The DP then updates the details in the demat account of the BO.

CDSL is pleased to release its new facility, whereby BOs can change their contact details (email id and or mobile number) through their easi/easiest login ID. The change in contact details will be updated directly in the BO's demat account with CDSL and his/her easi profile.

BO's will be able to update the contact details in the demat account through their easi/easiest login id from the Miscellaneous Menu. They can use the "edit profile" option to update the new contact details. Their current contact details in the demat account will be displayed in the edit profile page.

For detailed procedure on the captioned subject, kindly refer to CDSL communique no. [CDSL/OPS/DP/EASI/EASIST/2018/215](#)

## **CDSL COMMODITY REPOSITORY LIMITED (CCRL)**

With a view to promote holding & transaction of both, negotiable & non-negotiable warehouse receipts in electronic form, CDSL has promoted CDSL Commodity Repository Limited (CCRL) in March, 2017. CCRL has received its registration certificate in September 2017 and has commenced operations on 30th, September 2017.

Currently CCRL has registered 75 Repository Participants (Rps). Further, CCRL does not levy any charges to register as a RP. To register as a RP of CCRL please contact your CDSL RM or send us an email on [cctlhelpdesk@cdslindia.com](mailto:cctlhelpdesk@cdslindia.com); [pritams@cdslindia.com](mailto:pritams@cdslindia.com); [amolp@cdslindia.com](mailto:amolp@cdslindia.com); or contact Mr. Pritam Shetty on (022) 2305- 8546 or Mr. Amol Pandav on (022) 2305- 8557. For more details about CCRL RP please visit [www.ccrl.co.in](http://www.ccrl.co.in).

## **DPs ADMITTED IN CDSL**

DP ID	DP NAME
12085400	LFS BROKING PVT LTD
12085300	ALICE BLUE FINANCIAL SERVICES PVT LIMITED
12085500	WEALTH STREET ADVISORS PVT LTD
12085600	PARKER DERIVATIVES INDIA PVT LTD

## **SUCCESSFUL FACILITATION OF e-VOTING**

CDSL's e-Voting System enables investors to cast their votes pertaining to company resolutions through the internet till the closure of an e-Voting event. At present, more than 4600 companies have signed agreements with CDSL for its e-Voting facility, of which over 4200 companies have used CDSL's e-Voting platform, [www.evotingindia.com](http://www.evotingindia.com) to conduct electronic voting for AGMs and postal ballot resolutions for over 16,000 occasions. Currently, many prominent Clubs and Trade Organizations have signed the agreement with CDSL for availing

e-Voting facility. CDSL also provides its e-Voting system at the venue of meeting. This has enabled shareholders to cast their vote electronically and also helped the Scrutinizer in getting a consolidated voting report. To register your company for e-Voting, please contact Mr. Anand Tirodkar on 022-23058615, [anandt@cdslindia.com](mailto:anandt@cdslindia.com) or Mr. Ankit Bandivadekar on 022-23058634, [ankitb@cdslindia.com](mailto:ankitb@cdslindia.com).

## **GREEN INITIATIVE FOR CORPORATES**

CDSL's 'Go Green' service enables corporate entities to communicate to their shareholders through e-mail. As the name suggests, Go Green aims to use 'greener' electronic practices of communication over the conventional printing and posting of physical documents. Companies can use this inexpensive and efficient service for sending any communication to their shareholders, which would result in reduced costs and contribute to a greener environment. To register your company for Go Green, please contact Mr. Anand Tirodkar on 022-23058615, [anandt@cdslindia.com](mailto:anandt@cdslindia.com) or Mr. Ankit Bandivadekar on 022-23058634, [ankitb@cdslindia.com](mailto:ankitb@cdslindia.com).

## **INVESTOR AWARENESS PROGRAMMS (IAPs)**

During April 2018, CDSL IPF Trust has conducted 22 IAPs across India. DPs / CMs also participated in these IAPs. These programs were directed towards educating Investors about Depository Services, Investing in Capital Markets, etc. For details on forthcoming IAPs, kindly click on [Investor Meet](#).



Mr. V.K. Sharma of HDFC Securities Limited addressing the audience at an IAP conducted in Dehradun, Uttarakhand on April 09, 2018.



Mr. Rajesh Gupta of SBICAP Securities Limited addressing the audience at an IAP conducted in Tumakuru, Karnataka on April 26, 2018.



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