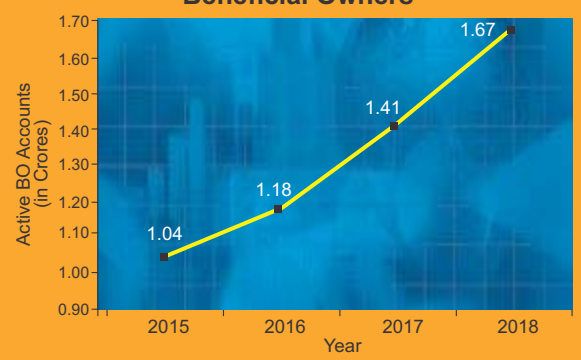




# CDSL e-Infoline

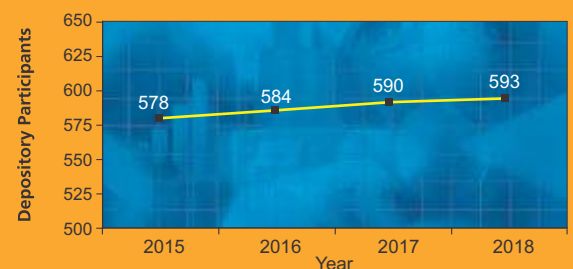
January 2019

## Beneficial Owners



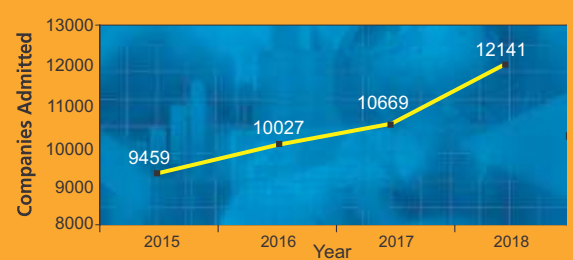
During the last 12 months, the number of active BO accounts with CDSL has increased from 1.41 Crore as on December 31, 2017 to 1.67 Crore as on December 31, 2018, which indicates growth of 18.44 %.

## Depository Participants



As on December 31, 2018 CDSL has 593 DPs offering DP services from over 17,000 locations across the country. These DPs comprise of CMs, Banks, NBFCs & Custodians.

## Unique Companies Admitted



The securities of almost all listed companies have been admitted with CDSL for demat. Further, a large number of Private Limited and unlisted companies are also admitted with CDSL. As on December 31, 2018, the securities of 12, 141 unique companies have been admitted for demat with CDSL.

## CDSL PARTICIPATED IN INTERNATIONAL KITE FESTIVAL TO CREATE AWARENESS ABOUT THE IDEA OF SINGLE DEMAT ACCOUNT FOR ALL FINANCIAL ASSETS AND MUTUAL FUND SIP IN DEMAT.

International Kite Festival is a 9 day kite flying festival which was organised from January 6 to January 14, 2019 at Sabarmati Riverfront in Ahmedabad, Gujarat. Kite festival attracts participants from all over the world. The festival was inaugurated by the Governor of Gujarat, Mr. O.P. Kohli on January 6, 2019.

CDSL participated in this festival to create awareness about the idea of single demat account for all financial assets and Mutual Fund (MF) Systematic Investment Plan (SIP) in Demat. During the budget session in 2014, finance minister Mr. Arun Jaitley had stated, "I propose to introduce one single operating Demat account so that Indian financial sector consumers can access and transact all financial assets through this one account".

Single Demat account with single KYC registration will be able to hold all our financial assets such as Corporate Fixed Deposits, Bank Fixed Deposits, Corporate Bonds, Gold Bonds, National Savings Certificate, Kisan Vikas Patras, Pension Products, Insurance Policies, etc. Every investor can receive updated statement of his assets every month. A change of address to update the KYC or change in Nomination, bank account etc. will also be at single point of time.

One of the most harrowing experiences in India is to complete the transfer of assets after the death of person. Often, heirs are clueless about a person's investments. Fixed Deposits Receipts, Savings Certificates and Passbook are often found lost/misplaced. There is huge fund of unclaimed dividend/ interest, redemption proceeds, unclaimed insurance policies and unclaimed provident fund accounts with Government accounts. Single Demat account provides solution for the same. In case of death, transmission of all assets can be very easy. It will be also easier to track dividend/ interest earnings or transfer of redemption proceeds to bank account linked to the depository account.



CDSL's Single Demat Account Kite at CDSL Kite creating awareness about MF SIP in International Kite Festival in Ahmedabad, Demat at International Kite Festival in Gujarat Ahmedabad, Gujarat.

## SEBI CIRCULAR – ACCEPTANCE OF PROBATE OF WILL OR WILL FOR TRANSMISSION OF SECURITIES HELD IN DEMATERIALIZED MODE

SEBI, vide its circular no. [SEBI/HO/MIRSD/ DOP/CIR/P/2019/05](#) dated January 04, 2019, has harmonized the procedure for transmission of securities in dematerialized mode with that of transmission of securities in physical mode. SEBI has decided that transmission of securities held in dematerialized mode shall be dealt in line with Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2018.

## SEBI CIRCULAR – MANDATORY DEMATERIALIZATION FOR TRANSFER OF SECURITIES

SEBI, vide its circular no. [SEBI/LAD-NRO/GN/2018/24](#) dated June 08, 2018, had made amendments to SEBI (Listing Obligations and Disclosure Requirements). As per the aforesaid amendment, transfer of securities of listed companies shall not be processed unless the securities are held in the demat form with the

depository. Transmission or transposition of securities will be exempted from the amendment. The procedure was to be made effective from December 5, 2018.

Subsequently, SEBI has received representations from shareholders for extension of the date of compliance. In view of the same, SEBI vide its press release dated December 3, 2018 informed that the deadline has been extended and the aforesaid requirement of transfer of securities only in demat form shall now come into force from April 1, 2019.

Investors may approach any CDSL Depository Participant to open a demat account or to demat their physical shares in their existing demat account before the deadline. Kindly visit [www.cdslindia.com](http://www.cdslindia.com) for CDSL DP list.

## ISSUE OF SECURITIES IN DEMATERIALIZED FORM BY UNLISTED PUBLIC COMPANIES

Ministry of Corporate Affairs (MCA), vide its circular [CDSL/OPS/RTA/POLICY/2018/119](http://www.cdslindia.com) dated September 10, 2018 has made amendments to the Companies (Prospectus and Allotment of Securities) Rules, 2014.

The circular specifies that every unlisted public company shall facilitate dematerialisation of all its existing securities by:

- Making necessary application to a depository as defined in clause(e) of sub-section (1) of section 2 of the Depositories Act, 1996.
- Shall secure International Security Identification Number (ISIN) for each type of security.
- Inform all its existing security holders about such facility.

In order to fulfil the above requirements, we invite you to admit your securities with CDSL. For a detailed procedure and documentation, kindly visit <https://www.cdslindia.com/issuer/issuer-joiningpro.html>. For further clarification / information in this regard, kindly contact Ms. Neeta Phadke, Ms. Vaishali Dhumal, Ms. Veena Yadav and Mr. Santosh Kulkarni on (022) 2305-8683/ 8688/8695/8690 and email on [issueradmission@cdslindia.com](mailto:issueradmission@cdslindia.com).

## RTA SERVICES BY CVL

CDSL Ventures Limited (CVL) has obtained registration from SEBI as a category-I, Registrar & Share Transfer Agent. This enables CDSL group to extend its portfolio of services to its stakeholders under one roof.

CVL would offer:

- RTA services to Issuers for equity and debt securities with primary focus on unlisted companies.
- A client Company signing up for CVL RTA services would enjoy the following benefits:-
  - a. Enhanced security and dealing with an Institution of repute
  - b. Reduced documentation
  - c. Improved time lines

Joining formalities for RTA services would entail:

- Bipartite agreement between CVL and Issuer.
- Master Creation Form.
- Board Resolution and Signature of Authorised signatory.

For any queries, kindly contact Mr. Milind Saraf on 022-61216913, [milinds@cdslindia.com](mailto:milinds@cdslindia.com) or Mr. Nilesh Chalke on 022-61216905, [nileshc@cdslindia.com](mailto:nileshc@cdslindia.com).

## NISM DEPOSITORY OPERATIONS CPE PROGRAM

As an NISM accredited CPE Provider for conducting the Depository Operations Program, CDSL conducted Continuing Professional Education (CPE) training programmes at Ludhiana on December 8, 2018, Mumbai on December 22, 2018 and Ahmedabad on December 29, 2018.

## WEBCAST OF ANNUAL GENERAL MEETING OF COMPANIES

SEBI, vide its press release dated March 28, 2018 has informed about its board's decision that Webcast of Annual General Meeting (AGM) will be compulsory for top 100 listed companies by market capitalization w.e.f. FY 2018-19.

We are pleased to inform that CDSL has introduced the live webcast facility which would enable shareholders gain access to the live

proceedings at the AGM of companies through their secure e-Voting login credentials. This facility will facilitate wider participation of shareholders from different localities who are unable to travel to the AGM venue. Shareholders will be able to gain knowledge about the company's future and also post questions to the management.

To register your company for live Webcast of AGM, please contact Mr. Anand Tirodkar on 022 – 23058615, [anandt@cdslindia.com](mailto:anandt@cdslindia.com) or Mr. Ankit Bandivadekar on 022- 23058634, [ankitb@cdslindia.com](mailto:ankitb@cdslindia.com).

## SUCCESSFUL FACILITATION OF e-VOTING

CDSL's e-Voting System enables investors to cast their votes pertaining to company resolutions through the internet till the closure of an e-Voting event. At present, more than 4600 companies have signed agreements with CDSL for its e-Voting facility, of which over 4500 companies have used CDSL's e-Voting platform, [www.evotingindia.com](http://www.evotingindia.com) to conduct electronic voting for AGMs and postal ballot resolutions for over 18,500 occasions. Currently, many prominent Clubs and Trade Organizations have signed the agreement with CDSL for availing e-Voting facility. CDSL also provides its e- Voting system at the venue of meeting. This has enabled shareholders to cast their vote electronically and also helped the Scrutinizer in getting a consolidated voting report. To register your company for e-Voting, please contact Mr. Anand Tirodkar on 022-23058615, [anandt@cdslindia.com](mailto:anandt@cdslindia.com) or Mr. Ankit Bandivadekar on 022- 23058634, [ankitb@cdslindia.com](mailto:ankitb@cdslindia.com).

## INVESTOR AWARENESS PROGRAMMS (IAPs)

During December 2018, CDSL IPF Trust has conducted 19 IAPs across India. DPs / CMs also participated in these IAPs. These programs were directed towards educating Investors about Depository Services, Investing in Capital Markets, etc. For details on forthcoming IAPs, kindly click on [Investor Meet](#).



Mr. Samraat Jadhav of Karvy Stock Broking Ltd. addressing the audience at an IAP conducted in Pune, Maharashtra on December 22, 2018.



Mr. Sidharth Sedani of Anand Rathi Share And Stock Brokers Limited addressing the audience at an IAP conducted in Lucknow, Uttar Pradesh on December 21, 2018.



## SMART - SMS ALERT FACILITY FOR INVESTORS



**Dear Investors,**

Kindly register / update your mobile number with your Depository Participant (DP).

By registering your mobile number, you will start receiving **SMS Alerts** pertaining to all debit transactions in your CDSL Demat account.

This facility is provided by Central Depository Services Limited (CDSL) without any additional cost to you.

Further, you will also receive SMS Alerts about credit transactions pertaining to **IPO Allotments, Other Corporate Actions, Changes in Important Demographic details, Pledge** etc. from CDSL.

[www.cdslindia.com](http://www.cdslindia.com)