



# CDSL e-Infoline

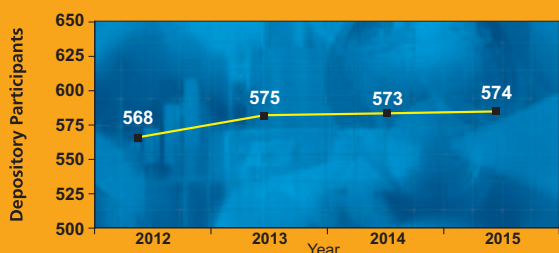
May 2015

## Beneficial Owners



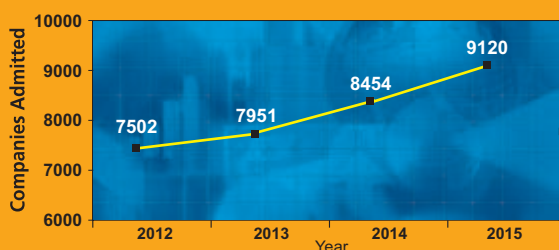
During the last 12 months, the number of active BO accounts with CDSL has increased from 87.73 lakh as on April 30, 2014 to 96.83 lakh as on April 30, 2015, which indicates growth of 10.37%.

## Depository Participants



As on April 30, 2015 CDSL has 574 DPs offering DP services from over 11,000 locations across the country. These DPs comprise of CMs, Banks, NBFCs, Custodians and Clearing Houses/Corporations.

## Unique Companies Admitted



The securities of almost all listed companies have been admitted with CDSL for demat. Further, a large number of Private Limited and unlisted companies are also admitted with CDSL. As on April 30, 2015, the securities of 9120 unique companies have been admitted for demat with CDSL.

## RECTIFICATION IN NAME OF THE BENEFICIAL OWNER

Based on representations received from DPs, CDSL is pleased to announce the provision of a facility at the DP end wherein rectifications may be carried out in the name of individual or non-individual BOs on account of a typographical error. DPs are required to keep the following documents on record for such rectifications:

- Copy of the demat account opening form showing details of the name as filled by the BO.
- Copy of the PAN card, checked and verified for the name of the BO.
- Extract of the PAN details from income tax website duly verified by DP official.
- Authorisation by the compliance officer/ authorized signatory of the DP for rectification/modification in the name.

For further details, kindly refer to CDSL communiqué no. [CDSL/OPS/DP/ACCTS/5141](#).

## MANDATORY USE OF 'EASIEST' BY DP FOR EXECUTING TRANSACTIONS

CDSL's 'easiest' facility is available to its DPs for uploading transactions during any contingency. DPs can use their easiest DP login and submit instructions, whenever they have any connectivity issue. With a view to familiarise DPs with the process of uploading transactions through easiest, CDSL vide its Communiqué no. [CDSL/OPS/DP/EASIE/4851](#) dated November 28, 2014 had advised DPs to mandatorily use their DP login for processing of transactions at least once a month.

## SEPARATE MOBILE NUMBER/E-MAIL ID FOR BOs

As a risk mitigation measure, SEBI, vide its e-mail dated, January 16, 2015, has communicated to CDSL to instruct its DPs to ensure that separate mobile number and e-mail id is recorded in the CDSL system for each BO. BOs may, however under special circumstances, give a written request providing a common e-mail id or mobile number to their DP if the group of demat accounts belong to one family. 'Family' for this purpose would mean self, spouse, dependent children and dependent parents. The written request may be provided to DP as a part of the account opening form.

CDSL has voluntarily been providing information on multiple demat accounts with same mobile number/email id/PAN/address/bank account numbers recorded in 5 or more accounts across DPs on daily, weekly and monthly basis. DPs should ensure that separate mobile numbers and email addresses are being captured for each client. For further details, kindly refer to CDSL communiqué no. [CDSL/OPS/DP/POLCY/5139](#).

## UPDATION OF MOBILE NUMBERS - REGISTRATION FOR SMS ALERT

As a risk mitigation measure, CDSL offers its SMART (SMS Alerts Related to Transactions) facility by sending SMS alerts to the registered mobile number of Beneficial Owners (BOs) for every debit transaction or credit related to any corporate action. It is also mandatory for demat account holders who have given Power of Attorney (POA) to operate their demat account to register for CDSL's SMART facility. CDSL has also been promoting the SMART facility through its investor awareness programs. CDSL, vide its communiqué no. [CDSL/OPS/DP/POLCY/5017](#) dated February 20, 2015 had advised DPs to update the mobile numbers of their BOs using alternate sources of information.

However, it has been observed that some individual demat accounts are not registered for CDSL's SMS facility. BOs are therefore, once again requested to approach their DPs and register their mobile numbers for the SMART facility.

## NISM DEPOSITORY OPERATIONS CPE PROGRAM

As an NISM accredited CPE Provider for conducting the Depository Operations Program, CDSL has conducted CPE training programs in Ludhiana on March 21, 2015, Chennai on March 28, 2015, Jaipur on April 18, 2015, Ahmedabad on April 18, 2015 and New Delhi on April 25, 2015.

## TRAINING PROGRAMME FOR COMPLIANCE OFFICERS and DP OFFICIALS ON RISK ASSESSMENT TEMPLATE

CDSL had organized a training programme for Compliance officers and DP Officials to update and enable them to provide the data in the risk assessment template. The training programme was conducted at Hyderabad, on March 20, 2015, Delhi, Jaipur, Ahmedabad, Kolkata on April 1, 2015, Mumbai on April 4, 2015, Chennai and Kochi on April 6, 2015 and Bangalore on April 10, 2015.

## SUCCESSFUL FACILITATION OF ELECTRONIC VOTING

CDSL's e-Voting System enables investors to cast their votes pertaining to company resolutions through the internet till the closure of an e-Voting event. At present, 3578 companies have signed the agreement with CDSL for availing of its e-Voting facility of which 3126 companies have used CDSL's e-Voting platform to conduct electronic voting for AGMs and postal ballot resolutions on 4172 occasions. To register your company for e-Voting, please contact Mr. Anand Tirodkar on 022-22728153, [anandt@cdslindia.com](mailto:anandt@cdslindia.com) or Mr. Ankit Bandivadekar on 022 22728634, [ankitb@cdslindia.com](mailto:ankitb@cdslindia.com).

## GREEN INITIATIVE FOR CORPORATES

CDSL's 'Go Green' service enables corporate entities to communicate to their shareholders through e-mail. As the name suggests, Go Green aims to use 'greener' electronic practices of communication over the conventional printing and posting of physical documents. Companies can use this inexpensive and efficient service for sending any communication to their shareholders, which would result in reduced costs and contribute to a greener environment. To register your company for Go Green, please contact Mr. Anand Tirodkar on 022-22728153, [anandt@cdslindia.com](mailto:anandt@cdslindia.com) or Mr. Ankit Bandivadekar on 022-22728634, [ankitb@cdslindia.com](mailto:ankitb@cdslindia.com).

## INVESTOR AWARENESS PROGRAMS (IAPs)

During April 2015, CDSL BOPF Trust has conducted 24 IAPs across India. DPs/CMs also participated in these IAPs. These programs were directed towards educating the investors about depository services, financial planning, online trading, advantages of holding Mutual Fund units in demat account, Rajiv Gandhi Equity Savings Scheme (RGESS), 'Do's and Don'ts' in dealing in Capital Markets, etc. For details on forthcoming IAPs, kindly visit [www.cdslindia.com](http://www.cdslindia.com).



Dr. Tarun Ramadorai addressing the audience at an IAP on Investor Learning in the Indian Stock Market conducted at Mumbai, Maharashtra on April 13, 2015.



Mr. V. M. Daniel of ATS Share Brokers Private Limited addressing the audience at an IAP conducted at Karaikudi, Tamilnadu on April 25, 2015.



Mr. Yogesh Dusane of Quantum Mutual Fund addressing the audience at an IAP conducted at Nashik, Maharashtra on April 24, 2015.



Mr. Gopinath of IFCI Financial Services Limited addressing the audience at an IAP conducted at Madurai, Tamilnadu on April 24, 2015.

# Now! Make Every Vote Count

Introducing **CDSL's**  
**e-Voting**  
Empowering Investors

## Features:

1. Facilitates shareholders to vote electronically on [www.evotingindia.com](http://www.evotingindia.com) for Postal Ballot, AGMs, EGMs etc.
2. Reduction in administrative cost and faster and transparent voting process viz:
  - Saving in postage and storage of postal ballots
  - No verification of signatures
  - Automated counting of electronic votes
3. Elimination of invalid votes and loss in transit cases.
4. Ample time to vote for Shareholders - Till the last minute.

**Over 3578 companies have registered for CDSL's e-Voting facility.  
Have You?**

To join, please contact our e-Voting team  
on (022) 2272 8153 / 8634,  
or email: [helpdesk.evoting@cdslindia.com](mailto:helpdesk.evoting@cdslindia.com)



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