



CDSL e-Infoline

May 2013

Beneficial Owners



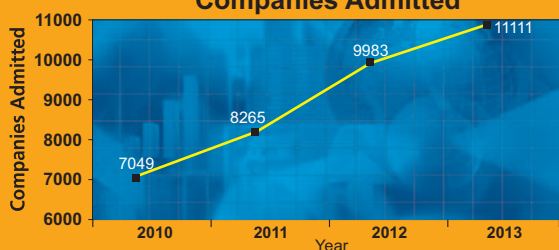
During the last 12 months, the number of active BO accounts with CDSL has increased from 79.29 lakh as on April 30, 2012 to 83.55 lakh as on April 30, 2013, which indicates growth of 5.10 %.

Depository Participants



As on April 30, 2013, CDSL has 575 DPs offering DP services from over 12,500 locations across the country. These DPs comprise of CMs, Banks, NBFCs, Custodians, Clearing Houses/ Corporations and Regional Stock Exchanges.

Companies Admitted



The securities of almost all listed companies have been admitted with CDSL for demat. Further, a large number of Private Limited and unlisted companies are also admitted with CDSL. As on April 30, 2013, the securities of 11,111 companies have been admitted for demat with CDSL.

PROVISION OF ONLINE/SCHEDULED DP89 REPORT

DP89 Report is a probable shortage report provided by CDSL to Depository Participants (DPs) at pre-defined intervals. This report is used by DPs to monitor settlement shortages on BSE, NSE and MCX-SX.

With a view to increase the frequency of the DP89 to DPs, CDSL has introduced a facility for DPs to opt for either a 'Scheduled' or 'Online' DP89 Report with effect from April 12, 2013.

The distinction between an Online DP89 report and a Scheduled DP89 report is as follows:

Online DP89 Report: By opting for the 'online DP89' report, DPs can setup an online report any time during business hours.

Scheduled Reports: By opting for a 'scheduled DP89' report, DPs can generate the report at pre-defined time intervals as per their requirement. The time interval between two reports should be atleast 15 minutes. Reports will be generated on a daily basis once the schedule is created.

DPs may switch over from 'scheduled' to 'online' DP89 report generation or vice versa, by forwarding a request letter to CDSL duly signed by the compliance officer or authorized signatory. DPs can set-up maximum 25 scheduled or online reports per day. The existing facility of generation of DP89 report on an hourly basis will be discontinued with effect from May 10, 2013.

For detailed information on the captioned subject, kindly refer to CDSL communiqué no. [CDSL/OPS/DP/REPRT/3631](#)

NISM SERIES – III A: SECURITIES INTERMEDIARIES COMPLIANCE (NON-FUND) CERTIFICATION EXAMINATION (SICCE)

SEBI, vide its notification no. [LAD-NRO/GN/202-13/33/1103](#) dated March 11, 2013, has informed all capital market intermediaries that any official acting as a compliance officer is required to obtain the Securities Intermediaries Compliance (Non-Fund) Certification Examination (SICCE) certification.

Compliance Officers have to obtain SICCE certification within two years from the date of the notification. If a new person has been appointed as the compliance officer after the date of notification, the person needs to obtain SICCE certification within one year from the date of employment.

SUCCESSFUL FACILITATION OF ELECTRONIC VOTING

CDSL's e-Voting system aims to reduce the costs associated with Postal Ballots as well as AGMs / EGMs while facilitating immediate declaration of results after the close of the voting. Additionally, investors can cast their votes at their convenience from home / office till the last day.

At present, 162 companies have signed the agreement with CDSL for its e-Voting System of which 55 companies have used CDSL's e-Voting platform to conduct electronic voting for AGMs and postal ballot resolutions on 65 occasions. Shareholders of Cipla Limited, Manappuram Finance Limited, Magma Fincorp Limited, Everest Kanto Cylinder Limited, Choice International Limited and Fairfield Atlas Limited took the opportunity to cast

NEW DPs ADMITTED IN THE MONTH OF APRIL 2013

DPID	DP NAME	LOCATION / CITY
12076700	BHANSALI VALUE CREATIONS PRIVATE LIMITED	BHAVNAGAR
12076600	4A SECURITIES LIMITED	NEW DELHI

their electronic votes on www.evotingindia.com in March 2013.

Further, Godrej Properties Limited, Sun Pharma Advanced Research Company Limited, Clariant Chemicals (India) Limited, Balmer Lawrie & Co. Ltd., Moser Baer India Limited, E.I.D.-Parry (India) Limited, Vakrangee Softwares Limited, Shree Ganesh Jewellery House (I) Limited, Fresenius Kabi Oncology Limited and V-Guard Industries Limited commenced e-Voting in the month of April 2013.

To register your company for e-Voting, please contact Ms. Prajakta Ghugal on 022-22728634, prajaktag@cdslindia.com or Mr. Anand Tirodkar on 022-22728153, anandt@cdslindia.com.

DISPATCH OF STATEMENTS

CDSL, based on requests from various Depository Participants (DPs), is pleased to announce its new service for dispatch of transaction cum holding statements on behalf of DPs. The objective of providing this service is to ensure timely dispatch of statements to the beneficial owners (BOs).

In order to register for this service, DPs need to enter into an agreement with CDSL. Currently, over 90 DPs of CDSL have registered for this service.

Dps can avail of this service for dispatch of physical or electronic statements to all BOs or selective BOs as per their requirement. The dispatch can be made monthly, quarterly, half yearly or annually. Monthly statements are dispatched on or before the 10th of every subsequent month. CDSL provides DPs the list of BOs to whom the statements were sent. Undelivered items are returned to DP from time to time.

For further details about the service and to sign the agreement, kindly refer communiqué no. [3384](#) and [3582](#). or contact Ms. Sunanda Markande/Latha Nair on 022-22725109/5062, sunandam@cdslindia.com/lathan@cdslindia.com

WITHDRAWAL OF DEPOSITORY PARTICIPANT OF CDSL

DPs and BOs are advised to note that below-mentioned DPs have ceased to be Depository Participant of CDSL.

DP ID	DEPOSITORY PARTICIPANT
12027400	SDFC Securities Limited
12047000	Dhyan Stock Broking Private Limited
12031700	KNC Shares & Securities Pvt. Limited
12015100	R M Share Trading Pvt. Limited
12021600	Apex Stock Brokers Private Limited

BOs holding accounts with the aforementioned DPs are advised to take necessary steps to transfer their securities to another account with a DP of their choice or to opt for rematerialization.

REGISTRATION OF UNIVERSAL COMMODITY EXCHANGE LIMITED

Universal Commodity Exchange (UCX), a national level commodity exchange for derivatives market across all commodity segments, has commenced its operations from April 19, 2012. CDSL is pleased to inform that it has tied-up with UCX to allow investors to open commodity demat accounts to hold warehouse receipts in electronic form.

In view of the above, UCX has commenced the empanelment of CDSL DPs for opening commodity demat accounts. The KYC documentation and procedure of opening a demat account to hold electronic warehouse receipts is similar to opening a demat account to hold securities. Investors may, thus take the benefit of this tie-up and contact any DP of CDSL that has empanelled with UCX for opening commodity demat accounts. For further details on the captioned subject, please refer to CDSL communiqué [CDSL/OPS/DP/COMDT/3635](#).

INVESTOR AWARENESS PROGRAMS (IAPs)

During April 2013, CDSL BOPF Trust has conducted 13 IAPs across India in association with SEBI and BSE Investor Protection Fund. CDSL DPs such as Sharekhan Ltd., Geojit BNP Paribas Financial Services Ltd, Way2Wealth Brokers Pvt. Ltd., Asit C. Mehta Inv. Int. Ltd., Steel City Securities Ltd. and RLP Securities Pvt. Ltd. as well as leading dailies such as Eenadu contributed in making these IAPs a grand success. The programs are directed towards educating the investors about depository services, risk management and the Rajiv Gandhi Equity Savings Scheme (RGESS).



Mr. C.S. Thakur of CDSL, addressing investors at an IAP held in Kalyan, Maharashtra on April 21, 2013. The IAP was organised in association with BSE Investors Protection Fund and Sharekhan Ltd.



Mr. Shivaprasad Venishetty of CDSL, addressing investors at an IAP held in Karimnagar on April 07, 2013. The IAP was organised in association with BSE Investors Protection Fund, PCD Securities and Eenadu daily.

CVL NEWS

Amendment to the KYC (Know Your Client) Registration Agency (KRA) Regulations, 2011

SEBI, vide its notification no. [LAD-NRO/GN/2012-13/35/6998](#) dated March 22, 2013 has amended the KYC regulations under which intermediaries are now required to submit scanned copies of the KYC documents and retain the physical copies. With a view to facilitate the aforementioned process, CVL-KRA has activated the facility to upload the KYC images for its intermediaries through their USER logins. KYC documents of investors can be uploaded using the Image Upload option under the KYC menu. For detailed information on the facility, kindly refer to CVL's communiqué no. [CVL/OPS/INTERM/GENRL/13-062](#) on www.cvlkra.com



CDSL

Welcome to the world of Depository services

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16th-17th Floor, P.J. Towers, Dalal Street, Mumbai-400 001. Tel: (022) 2272 3333 Fax: (022) 2272 3199
www.cdslindia.com

Published by Mr. Sunil Alvares, Senior Vice President - Business Development on behalf of Central Depository Services (India) Limited, 17th Floor, Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai - 400 001.

Editor : Mr. Sunil Alvares

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