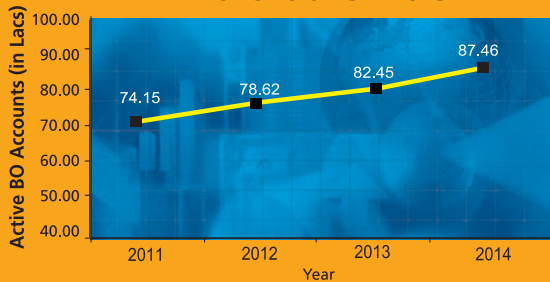




# CDSL e-Infoline

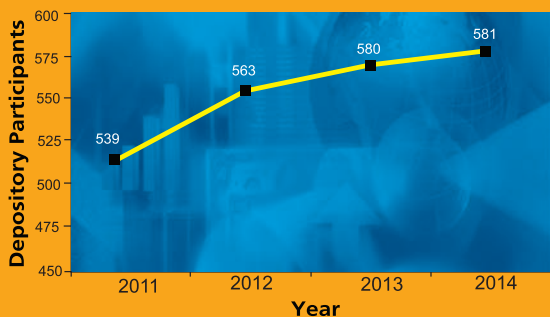
February 2014

## Beneficial Owners



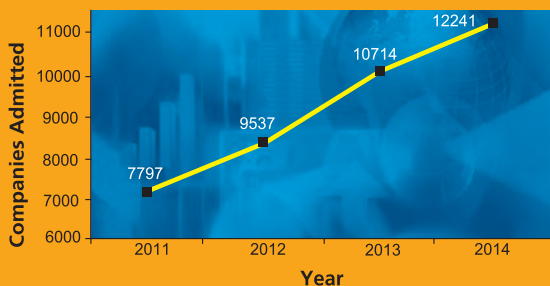
During the last 12 months, the number of active BO accounts with CDSL has increased from 82.45 lakh as on January 31, 2013 to 87.46 lakh as on January 31, 2014, which indicates growth of 6.08 %.

## Depository Participants



As on January 31, 2014, CDSL has 581 DPs offering DP services from over 11,625 locations across the country. These DPs comprise of CMs, Banks, NBFCs, Custodians and Clearing Houses / Corporations.

## Companies Admitted



The securities of almost all listed companies have been admitted with CDSL for demat. Further, a large number of Private Limited and unlisted companies are also admitted with CDSL. As on January 31, 2014, the securities of 12,241 companies have been admitted for demat with CDSL.

## SEBI ISSUES OPERATIONAL GUIDELINES FOR DESIGNATED DEPOSITORY PARTICIPANT (DDP)

The SEBI (Foreign Portfolio Investors) Regulations, 2014 (“the Regulations”) was notified by SEBI on January 7, 2014. As per the regulation, Foreign Portfolio Investors (FPIs) will have to register themselves with a Designated Depository Participant (DDP) in a prescribed format before investing in the Indian Securities market. SEBI has also started the enrollment of DDPs who are eligible as per the specified criteria and has issued operational guidelines for registration of DDPs. This was communicated through SEBI’s circular number CIR/IMD/FIIC/02/2014 dated January 8, 2014. To view the detailed guidelines, kindly refer to CDSL communiqué no. [CDSL/OPS/DP/POLCY/4203](http://www.cdslindia.com/CDL/OPS/DP/POLCY/4203).

## SEBI CIRCULAR – DELIVERY INSTRUCTION SLIP (DIS) ISSUANCE AND PROCESSING

In order to strengthen the supervisory and monitoring role of the depositories and its Depository Participants (DPs) with respect to issuance and processing of Delivery Instruction Slips (DIS), SEBI, vide its circular no. CIR/MRD/DP/ 01/2014 dated January 7, 2014 has advised depositories to put in place the following measures:

- Standardization of DIS across all DPs with pre-printed serial number along with fixing layout and size of DIS to facilitate scanning and easy retrievability of records.
- Issuance and monitoring of DIS
- Scanning of every DIS executed by the DP along with all annexure/ computer printouts, and subsequent storage & transfer of the scanned DIS.

The provisions of this circular shall come into effect in six months from the date of issue. All DIS issued prior to this circular shall be phased out within a period of 2 years from the date of this circular. CDSL is in process

of issuing operational guidelines and amendments to its DP operating instructions, which shall be communicated to its DPs, shortly. To view aforementioned SEBI circular, please click communiqué no. [4166](#).

## NISM DEPOSITORY OPERATIONS CPE PROGRAM

As an NISM accredited CPE Provider for conducting the Depository Operations Program, CDSL conducted a CPE training program at Kochi on January 18, 2014, which was attended by 36 participants. Similar programs were conducted in Mumbai and Kolkata.

## WITHDRAWAL OF DEPOSITORY PARTICIPANT

DPs & BOs are advised to note that following entities have ceased to be a Depository Participant of CDSL w.e.f. January 2014:

- Click2Trade Capital Limited [DPID 12076900]
- India Capital Markets Private Limited [DPID 12053100]

## CHANGE IN NAME OF RTA

DPs may note that following Registrar and Transfer Agent (RTA) has changed its name w.e.f. January 22, 2014:

RTA ID	OLD NAME	NEW NAME
115	TSR Darashaw Limited	TSR Darashaw Private Limited

## SUCCESSFUL FACILITATION OF ELECTRONIC VOTING

CDSL's e-Voting System enables investors to cast their votes pertaining to company resolutions at their convenience from home / office till the last day. At present, 252 companies have signed the agreement with CDSL for its e-Voting System of which 97 companies have used CDSL's e-Voting platform to conduct electronic voting for AGMs and postal ballot resolutions on 125 occasions. Shareholders of 10 companies took the opportunity to cast their votes on [www.evotingindia.com](#) in the month of December 2013. Further, another 4 companies commenced e-Voting in the month of January 2014. To register your company for e-Voting, please contact Mr. Anand Tirodkar on 022-22728153, [anandt@cdslindia.com](mailto:anandt@cdslindia.com) or Mr. Mehboob Lakhani on 022-22725040, [mehboobl@cdslindia.com](mailto:mehboobl@cdslindia.com).

## INVESTOR AWARENESS PROGRAMS (IAPs)

During January 2014, CDSL BOPF Trust has conducted 26 IAPs across India in association with BSE Investor Protection Fund. DPs such as ICICI Securities Ltd., HDFC Securities Ltd., Sharekhan Ltd., IL& FS Securities Ltd., RKSVM Securities Pvt. Ltd., Cochin Stock Brokers Ltd., Celebrus Capital Ltd. and RBG Financial Services Pvt. Ltd. also participated in these IAPs. Further, leading dailies such as Dainik Lokmat, Sandesh, Janmabhumi / Vyapaar also contributed in making these IAPs a grand success. CDSL also conducted an IAP with Belgaum Investors Association at Belgaum, Karnataka. Further, an IAP was held at Pune along with Govt. Divisional Library and PSE Securities Ltd. on January 30, 2014.

These programs were directed towards educating the investors about depository services, risk management and the Rajiv Gandhi Equity Savings Scheme (RGESS). For details of forthcoming IAPs, please click : [Investor meet](#).



Mr. Rajasekaran Nair, Member, Cochin Stock Exchange Limited, addressing the audience at an IAP conducted by CDSL and Cochin Stock Brokers Pvt. Ltd. at Kottayam, Kerala on 25.01.2014 in association with BSE Ltd.



Mr. Viral Mehta, Manager, ICICI Securities Limited, addressing the students of Dr. T. K. Tope college in an IAP conducted by CDSL and ICICI Securities Ltd. in Mumbai, Maharashtra on 29.01.2014

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