



CDSL e-Infoline

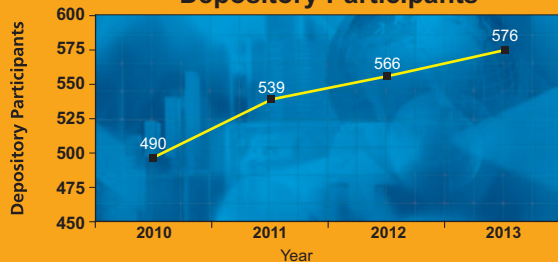
February 2013

Beneficial Owners



During the last 12 months, the number of active BO accounts with CDSL has increased from 78.63 lakh as on January 31, 2012 to 82.46 lakh as on January 31, 2013, which indicates growth of 4.87 %.

Depository Participants



As on January 31, 2013, CDSL has 576 DPs offering DP services from over 13,000 locations across the country. These DPs comprise of CMs, Banks, NBFCs, Custodians, Clearing Houses/Corporations and Regional Stock Exchanges.

Companies Admitted



The securities of almost all listed companies have been admitted with CDSL for demat. Further, a large number of Private Limited and unlisted companies are also admitted with CDSL. As on January 31, 2013, the securities of 10,714 companies have been admitted for demat with CDSL.

OPENING CLEARING MEMBER ACCOUNT FOR MCX STOCK EXCHANGE (MCX-SX)

MCX Stock Exchange Limited (MCX-SX) received permission from SEBI to deal in Interest Rate Derivatives, Equity, Futures & Options on Equity and Wholesale Debt Segment on July 10, 2012. MCX-SX is proposing to launch its exchange platform on January 9, 2013.

For settlement of trades done on MCX-SX, clearing members need to open a designated Clearing Member (CM) account with any Depository Participant (DP) of CDSL with the below mentioned details:

	Value	Description
BO Status Code	51	MCX-SX Clearing Member Account
BO Sub-Status Code	24	Clearing Member Account

The procedure for opening of a Clearing Member account of MCX-SX is similar to opening a Clearing Member Account for exchanges other than BSE. For further details, kindly refer to CDSL communiqué no. [3409](#).

CHANGE IN NAME OF INDIVIDUAL BENEFICIAL OWNER ACCOUNT

In the past, individual Beneficial Owners (BOs) were not allowed to change their name in the demat account. A new account had to be opened in the new name and the old demat account had to be closed after the transfer of securities. With a view to mitigate these operational hindrances, SEBI, vide its circular number [CIR/MRD/DP/27/2012](#), dated November 01, 2012, has allowed individual BOs to change their name in the demat account by submitting the requisite documents to their DPs.

CDSL is pleased to present the individual BO name change facility to its DPs for the below-mentioned reason codes:

Sr. No.	Reason code	Description
1	01	On account of Marriage
2	02	Change published in official gazette

BOs are required to submit a duly signed account modification form along with self attested copies of the below mentioned documents for verification:

- Change in name on account of marriage:**
 - Marriage Certificate
 - Copy of Passport showing husband's name or
 - Publication of name change in official gazette.
- Change in name on account of reasons other than marriage**
 - Publication of name change in official gazette.
- Change in father's name**
 - Publication of name change in official gazette.

For further details, kindly refer to CDSL communiqué no. [3462](#).

NEW DPs ADMITTED IN THE MONTH OF JANUARY 2013

DPID	DP NAME	LOCATION / CITY
12075900	ACHINTYA SECURITIES PRIVATE LIMITED	KANPUR
12076000	AMBALAL SHARES AND STOCKS PRIVATE LIMITED	VELLORE
12076200	ACUMEN CAPITAL MARKET (INDIA) LIMITED	KOCHI

RATIONALISATION PROCESS FOR OBTAINING PAN BY INVESTORS

As a KYC norm, PAN card as proof of identity for all categories of BO accounts was made mandatory by SEBI from April 01, 2006. DPs had to obtain a BO's self attested copy of PAN card and verify it from income tax website before opening a Demat account.

As a pragmatic approach and in order to alleviate the PAN verification process, SEBI has allowed intermediaries to verify PAN from the income tax website. BOs may present other valid document as proof of identity instead of PAN card copy. For further details, kindly refer to CDSL communiqué no. [3417](#).

RAJIV GANDHI EQUITY SAVINGS SCHEME

The Rajiv Gandhi Equity Savings scheme (RGESS) has been notified by the Department of Revenue, Ministry of Finance, Government of India. This scheme is framed consequent to the introduction of Section 80CCG in the Finance Act 2012, with a view to encourage small investors to mobilise their savings into the capital markets. Under this scheme, a one-time deduction for income tax purposes will be allowed. The deduction under this scheme shall be available only to a 'new retail investor' whose gross annual income for the financial year in which investment is made under the scheme does not exceed 10 lakh rupees. The holding period of eligible securities is three years with fixed lock-in for the first year and flexible lock-in for the subsequent two years. Any resident individual who has not opened a demat account and has not made any transactions in the equity and/or derivative segment as on the date of notification of the Scheme will fall under the definition of a 'new retail investor'. Also, any individual who has opened a demat account before the notification of the Scheme but has not made any transactions in the equity segment or the derivative segment till the date of notification of the Scheme will be considered as a new retail investor. Once a demat account has been confirmed as an RGESS account, DPs can also generate a certificate displaying the status of the client as new Retail Investor. For details on generating the certificate, refer communiqué no. [3468](#).

Any investment made by a BO in eligible securities present in an RGESS demat account will be frozen by CDSL till the portfolio value in such securities reaches Rs. 50000/-. Such securities will appear under 'Safe Keep Balance' in the transaction-cum-holding statements received by the BO.

For further details, kindly refer to CDSL communiqué no. [3361](#) and [3437](#) or log in to www.cdslindia.com/investors/rgessbenefits.html.

SUCCESSFUL FACILITATION OF ELECTRONIC VOTING

CDSL's e-Voting System aims to reduce the administrative and storage costs associated with Postal Ballots as well as AGMs / EGMs while facilitating immediate declaration of results after the close of the voting. Additionally, investors can cast their votes at their convenience from home / office till the last day. At present, 122 companies have signed the agreement with CDSL for its e-Voting System of which 35 companies have used CDSL's e-Voting platform to conduct electronic voting for AGMs and postal ballot resolutions on 39 occasions. Shareholders of Sundaram Finance Limited, Muthoot Finance Limited, Jaiprakash Associates Limited, Royal Orchid Hotels Limited, TD Power Systems Limited, Jyoti Structures Limited, Tulip Telecom Limited, Infotech Enterprises Limited, Gujarat Gas Company Limited and S. Kumars Nationwide Limited took the opportunity to cast their electronic votes on www.evotingindia.com in December 2012.

Further, Videocon Industries Limited, Cholamandalam Investment and Finance Company Limited, Jindal Stainless Limited, ACC Limited, Ambuja Cements Limited, Jubilant FoodWorks Limited, Hathway Cable & Datacom Limited, Gujarat Apollo Industries Limited and Opto Circuits (India) Limited, commenced e-Voting w.e.f. January 1, 2013, January 2, 2013, January 11, 2013, January 17, 2013, January 17, 2013, January 20, 2013, January 5, 2013, January 30, 2013 and January 30, 2013 respectively.

INVESTOR AWARENESS PROGRAMS (IAPs)

During January 2013, CDSL BOPF Trust has conducted 15 IAPs across India in association with BSE Investor Protection Fund. CDSL DPs such as India Infoline Ltd., Geojit BNP Paribas Financial Services Ltd, Bank of India, Tata Securities Ltd., Inventure Growth & Sec. Ltd, Oriental Bank of Commerce and Urja Investments P Ltd contributed in making these IAPs a grand success. In order to promote the Rajiv Gandhi Equity Savings scheme (RGESS), CDSL also conducted IAPs with corporates such as Reliance Industries Ltd. and Apar Industries for the benefit of their employees.



Mr. Chandrashekhar Thakur, Head-Investor Education, addressing the crowd at SNDT Women's College, Malad, on January 21, 2013. It was attended by over 300 students and teachers.



Mr. Shiva Prasad Venishetty, Manager-CDSL, addressing students of VDS Law College on January 24, 2013. It was attended by around 150 students.

SMS ALERTS FOR CREDIT TRANSACTIONS

CDSL's SMART Service provides SMS alerts for various debit/credit transaction to all BOs who have registered their mobile numbers.

In view of the representations received to discontinue SMS alerts for credits, CDSL will discontinue SMS alerts for credits with effect from **January 21, 2013**.

SMS alerts will however be continued for other types of transactions like IPO/ Corporate action credits and all debit transactions. SMS alerts will also be sent for modification in BO account such as change in POA holder, Signature, Nomination, Address and Mobile number.

Open a new **Demat** account and avail **tax benefits**

Introducing **Rajiv Gandhi Equity Savings Scheme**

SALIENT FEATURES OF THE SCHEME

- Under this scheme, a "new retail investor" will be eligible for tax benefit under section 80CCG on investments up to ₹ 50,000/-
- The scheme is applicable for investment in eligible securities – "BSE 100" or "CNX 100", equity shares of PSUs which are Navratnas, Maharatnas and Miniratnas, equity schemes, NFOs and ETFs of mutual funds which have the securities allowed under RGESS as the underlying, FPOs of the aforementioned companies and IPOs of specific PSUs
- An existing Demat account can be tagged as a RGESS a/c subject to conditions
- Securities must be held in a Demat account
- The holding period of eligible securities is three years, with fixed lock-in for one year and a flexible lock-in period of two years
- In the 'flexible lock-in' period, investors will be permitted to trade, subject to conditions

For further details about the scheme and to open your CDSL Demat Account, contact a CDSL Depository Participant (DP) or log in to www.cdslindia.com today



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