



# CDSL e-Infoline

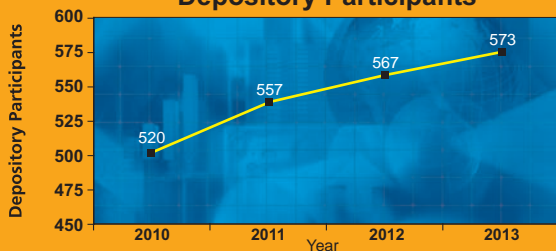
August 2013

**Beneficial Owners**



During the last 12 months, the number of active BO accounts with CDSL has increased from 80.10 lakh as on July 31, 2012 to 84.73 lakh as on July 31, 2013, which indicates growth of 5.46%.

**Depository Participants**



As on July 31, 2013, CDSL has 573 DPs offering DP services from over 13,000 locations across the country. These DPs comprise of CMs, Banks, NBFCs, Custodians, Clearing Houses/ Corporations and Regional Stock Exchanges.

**Companies Admitted**



The securities of almost all listed companies have been admitted with CDSL for demat. Further, a large number of Private Limited and unlisted companies are also admitted with CDSL. As on July 31, 2013, the securities of 11,388 companies have been admitted for demat with CDSL.

## CENTRAL INSURANCE REPOSITORY LIMITED (CIRL) GETS IRDA REPOSITORY LICENSE

CIRL is an insurance repository set up under the guidelines on insurance repositories and electronic issuance of insurance policies. It is promoted by CDSL, CVL along with various Life Insurance & Non-Life Insurance Companies with an objective to provide policyholders a facility to keep insurance policies in electronic form.

CIRL has received its repository license from IRDA on 31st July 2013. "IRDA guidelines on insurance repositories and electronic issuance of insurance policies" to permit creation of an e-insurance account and hold policies in electronic form. The salient features of the said guidelines are:

- Policy holder holds unique e-insurance account.
- One time KYC for maintaining the account and for procuring new policies in the future.
- Policy holder can request their insurance companies who provide e-insurance facility through CIRL to credit old & new policies into their e-insurance account.
- An e-insurance account holder can appoint Authorised Representative who shall help the policyholder's nominee get the benefits after his demise.

Any further developments will be communicated, shortly.

## TRANSACTIONS USING SECURED TEXTING (TRUST) - PAY-IN THROUGH SMS

Beneficial Owners (BOs) have the option to give pay-in instructions either by the way of Delivery Instruction Slip (DIS), by using power of attorney given to broker or by using CDSL's internet based facility 'easiest'.

CDSL is pleased to introduce 'TRUST' (TRansactions Using Secured Texting), an additional facility for entering pay-in instructions through SMS. By using TRUST facility, BOs can send confirmation by SMS to execute pay-in instruction from their demat account.

### FEATURES:

- Clearing Members can execute pay-in transactions for their BOs in the CDSL system based on receipt of confirmation from the BO vide SMS.
- On giving confirmation through TRUST, BOs need not submit a DIS to their Depository Participant (DP) for market related transactions.
- BOs may continue to have POA registered in addition to getting registered for TRUST.

### PRE REQUISITES:

- BOs need to register for TRUST facility. This can be opted at the time of account opening or subsequently by submitting TRUST registration form to their DP.
- Currently, this service is being enabled only on mobile phones with Android Operating System.
- The mobile number registered for SMS Alerts (SMART) and TRUST should be same.

- BOs have to register the eligible Clearing Members (CMs) (in whose favour the BO would be delivering securities from his demat account) by providing CM Name and Stock Exchange details at the time of TRUST registration or at any later date.

## PROCESS:

- DPs may register their BOs for TRUST using BO module under SMS Registration.
- After successful registration of a BO, a responsive text message will be sent to the BO on the registered mobile number requesting to download the TRUST Application on the mobile.
- On receipt of positive confirmation from the BO through SMS, the TRUST registration process will be completed and the BO will receive a final confirmation about the successful registration through an informative SMS.
- In case of registration of CM for TRUST, an informative message will be sent to the BO. Thereafter, BO can use this facility for giving confirmation through SMS about transactions executed by him through his CM.

For further details kindly click here: [TRUST \(Pay-in through SMS\)](#)

## CDSL'S ONLINE TUTORIAL FOR NISM - SERIES VI - DOCE

SEBI vide its notification dated March 29, 2011 had made it mandatory to pass NISM Series VI - Depository Operations Certification Examination (DOCE) for Depository Participant (DP) officials that are engaged in the below-mentioned activities:

- a) Dealing or interacting with clients,
- b) Dealing with securities of clients,
- c) Handling redressal of investor grievances,
- d) Internal control or risk management,
- e) Activities having a bearing on operational risk, or
- f) Maintenance of books and records pertaining to the above activities.

In this regard, CDSL had conducted various training programs across India to facilitate these officials to successfully clear the NISM - VI - DOCE examination. With a view to offer easy access to the afore-mentioned training, CDSL has launched an audio-visual tutorial, which covers the entire syllabus of DOCE. The audio visual has been uploaded on "www.Youtube.com" and can be accessed by typing in the search word 'CDSL Chapters'. DP officials can also view chapter wise links of the tutorial by referring to CDSL communiqué no. [3756](#).

## SUCCESSFUL FACILITATION OF ELECTRONIC VOTING

CDSL's e-Voting System aims to reduce the administrative and storage costs associated with Postal Ballots as well as AGMs / EGMs while facilitating immediate declaration of results after the close of the voting. Additionally, investors can cast their votes at their convenience from home / office till the last day.

At present, 192 companies have signed the agreement with CDSL for its e-Voting System of which 65 companies have used CDSL's e-Voting platform to conduct electronic voting for AGMs and postal ballot resolutions on 82 occasions. Shareholders of Jaiprakash Associates Limited, Sun Pharmaceutical Industries Limited, Ruchi Soya Industries Limited, Hathway Cable & Datacom Limited, STEL Holdings Limited and Aanjaneya Lifecare Limited took the opportunity to cast their electronic votes on [www.evotingindia.com](#) in the month of July 2013.

Further, Edelweiss Financial Services Limited, Forbes & Company Limited, Wadala Commodities Limited and Shree Ganesh Jewellery House (I) Limited commenced e-Voting in the month of July 2013.

To register your company for e-Voting, please contact Mr. Anand Tirodkar on 022-22728153, [anandt@cdslindia.com](mailto:anandt@cdslindia.com) or Mr. Pratish Nair on 022-2272 5178.

## GREEN INITIATIVE FOR CORPORATES

CDSL's service 'Go Green' offers corporate entities to convey all communications to their shareholders through e-mail. As the name suggests, Go Green aims to use 'greener' electronic practices of communication over the conventional printing and posting physical mail.

Companies can use this inexpensive and efficient service for sending any communication to their shareholders which would result in reduced costs and contribute to a greener environment. At present, 52 companies have enrolled with CDSL for availing this facility.

To register your company for Go Green, please contact Mr. Anand on 022-22728153, [anandt@cdslindia.com](mailto:anandt@cdslindia.com) or Mr. Pratish Nair on 022-2272 5178.

## DISPATCH OF STATEMENTS

CDSL, based on requests from various DPs, has started its new service for dispatch of transaction cum holding statements on behalf of DPs. The objective of providing this service is to ensure timely dispatch of statements to the beneficial owners (BOs).

In order to register for this service, DPs need to enter into an agreement with CDSL. Currently, over 130 DPs of CDSL have registered for this service. For further details refer communiqué no. [3582](#).

## NEW MARKET TYPE AND DEADLINE TIME FOR SUBMISSION OF INSTRUCTIONS – NSE

CDSL has created a new market type in its system for settlement of trades on NSE's debt segment.

SR. NO.	EXCHANGE	MARKET TYPE DESCRIPTION	CORRESPONDING CDSL MARKET TYPE	PAY-IN INSTRUCTION DEADLINE TIME	
				EASIEST	CDSL SYSTEM
1	NSE	Debt Segment (T+0)	47	14.45 PM	15.30 PM

DPs may refer to circulars issued by NSCCL to understand the procedure to be followed at NSE for settlement of debt segment.

## WITHDRAWAL OF CDSL DP

DPs and BOs may note that Twentyfirst Century Shares and Securities Limited [DPID - 12055700] has ceased to be a DP of CDSL.

## STOCK CLINIC

CDSL regularly conducts programs specially designed for employees and customers of Bank DPs. These programs are arranged at a branch location where customers can be invited for awareness sessions on capital markets. In July 2013, CDSL has conducted 17 such programs across India for Bank of India and Syndicate Bank.



Mrs. Ashvini Thorat - Khedkar, Dy. Manager, CDSL Pune office, addressing customers and staff of Bank of India (BOI) at a Stock Clinic conducted in association with Asit C Mehta Investment Intermediates Ltd. at BOI, Hadapsar branch, Pune on 19.07.2013

## CVL NEWS

### MODIFICATION OF OLD KYC DETAILS

CVL-KRA allows intermediaries to modify KYC details of those clients which were under the 'Verified' status. As a result, Intermediaries could not enter the latest KYC details of an existing client under any other status in the CVL-KRA system.

CVL-KRA is now pleased to inform that intermediaries are now allowed to update the latest KYC details of their clients under other status which are as follows:

Sr. No.	Existing Status in CVL-KRA
1.	OLD RECORD SUBMITTED
2.	OLD RECORD DOCUMENT RECEIVED
3.	OLD RECORD UNDER VERIFICATION
4.	OLD RECORD ON HOLD
5.	OLD RECORD ON HOLD BY CVLKRA

For further details, kindly refer to communiqué no. CVL/OPS/INTERM/GENRL/073 on [www.cvlkra.com](http://www.cvlkra.com).

## INVESTOR AWARENESS PROGRAMS (IAPs)

During July 2013, CDSL BOPF Trust has conducted 18 IAPs across India in association with BSE Investor Protection Fund. CDSL DPs such as Bank of India, HDFC Securities Ltd., ICICI Securities Ltd., Karvy Stock Broking Ltd., Geojit BNP Paribas Financial Services Ltd., etc. participated in these IAPs.

Leading dailies such as Eenadu, Sandesh, Prabhat and Lokprabha contributed in making these IAPs a grand success. These programs were directed towards educating the investors about depository service, risk management and the Rajiv Gandhi Equity Savings Scheme (RGESS). For details of forthcoming IAPs, Please Click: [Investor meet](#)



Mr. Gaurang Shah, Geojit BNP Paribas addressing investors at an IAP conducted jointly by CDSL and Geojit BNP Paribas at Amritsar on 25.07.2013.



CDSL conducted an interface program between investors and intermediaries at Chennai on 29th June 2013. The occasion was graced by presence of Shri Rangachary, Chairman, CDSL, Shri P S Reddy, MD & CEO, CDSL, Shri Muralidhar Rao, ED-SEBI, Shri Deepak Chatterjee, MD & CEO, SBI Mutual Fund and Shri M Narendra, CMD, IOB among other honorable guests.



**CDSL**  
**Welcome to the world of Depository services**

CDSL facilitates holding and transacting in securities in the electronic form and facilitates settlement of trades on stock exchanges. In addition, CDSL offers the following value added services that are intelligent, safe and responsive to your needs.



Online access to your Demat Account anytime - anywhere



Submit your delivery instructions on the internet



Receive SMS alerts relating to debit and credit transactions



Now! cast your vote on company resolutions, online

To open your Demat Account and register for these services, contact a CDSL DP today!!!



**Central Depository Services (India) Limited**

Convenient ♦ Dependable ♦ Secure

16th-17th Floor, P.J. Towers, Dalal Street, Mumbai-400 001. Tel: (022) 2272 3333 Fax: (022) 2272 3199  
[www.cdslindia.com](http://www.cdslindia.com)

Secure ♦ Dependable ♦ Convenient